

CERTIFICATE OF INCORPORATION

OF

NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST, INC.

CERTIFICATE OF INCORPORATION

OF

NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST, INC.

Under Section 402 of the Not-For-Profit Corporation Law

IT IS HEREBY CERTIFIED THAT:

(1) The name of the proposed corporation is:

NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST, INC.

(2) The Corporation is a corporation as defined in subparagraph (a)(5) of Section 102 of the Not-For-Profit Corporation Law. The corporation is a Type B Corporation under Section 201 of the Not-For-Profit Corporation Law.

(3) The purpose or purposes for which this corporation is formed are as follows, to wit:

To promote alternatives to incarceration consistent with public safety for juvenile and adult offenders. The corporation informs public policy and professionals in the field of criminal and juvenile justice about the availability of alternatives to incarceration.

Nothing herein shall authorize this Corporation, directly or indirectly, to engage in or include among its purposes any of the activities mentioned in Not-For-Profit Corporation Law Section 404 (b) through (v).

In furtherance of its corporate purposes, the Corporation shall have all general powers enumerated in Section 202 of the Not-For-Profit Corporation Law, together with the powers to solicit grants and contributions for corporate purposes.

(4) Notwithstanding any other provision of these articles, the corporation is organized exclusively for one or more of the purposes as specified in Section 501(c)(3) of the Internal Revenue Code of 1986, and shall not carry on any activities not permitted to be carried on by a corporation exempt from Federal income tax under Internal Revenue Code Section 501(c)(3) or corresponding provisions of any subsequent Federal tax laws.

No part of the net earnings of the corporation shall inure to the benefit of any member, trustee, director, officer of the corporation, or any private individual, (except that reasonable compensation which may be paid for services rendered to or for the corporation), and no member, trustee, officer of the corporation, or any private individual shall be entitled to share in the distribution of any of the corporate assets upon dissolution of the corporation.

No substantial part of the activities of the corporation shall be carrying on propaganda, or otherwise attempting to influence legislation (except as otherwise provided by Internal Revenue Code Section 510(h)) or participating in or intervening in (including the publication or distribution of statements), any political campaign on behalf of any candidate for public office.

In the event of dissolution, all of the remaining assets and property of the corporation shall, after necessary expenses thereof, be distributed to another organization exempt under Internal Revenue Code Section 501(c)(3), or corresponding provisions of any subsequent Federal tax laws, or to the Federal government, or state or local government for a public purpose, subject to the approval of a Justice of the Supreme Court of the State of New York.

In any taxable year in which the corporation is a private foundation as described in Internal Revenue Code Section 509(a), the corporation shall distribute its income for each taxable year at such time and in such manner as not to subject it to tax under Section 4942 of the Internal Revenue Code of 1986, as amended, and the corporation shall not (a) engage in any act of self-dealing as defined in Section 4941 (d) of the Code; (b) retain any excess business holdings as defined in Section 4943 (c) of the Code; (c) make any investments in such manner as to subject the corporation to tax under Section 4944 of the Code; or (d) make any taxable expenditures as defined in Section 4945 (d) of the Code.

Notwithstanding any other provision of these articles, the corporation is organized exclusively for one or more of the following purposes: religious, charitable, scientific, testing for public safety, literary, or educational purposes, or to foster national or international amateur sports competition (but only if no part of its activities involve the provision of athletic facilities or equipment), as specified in Section 501(c)(3) of the Internal Revenue Code of 1986, and shall not carry on any activities not permitted to be carried on by a corporation exempt from Federal income tax under Section 501 (c)(3) of the Internal Revenue Code of 1986.

(5) The office of the corporation is to be located in the County of Onondaga, State of New York.

(6) The initial directors of the corporation until the first annual meeting are as follows:

Alan Rosenthal, Esq.	Susan Horn, Esq.
Rosenthal & Drimer	Executive Director
472 South Salina Street	Hiscock Legal Aid Society
Syracuse, NY 13202	351 South Warren Street
	Syracuse, NY 13202

Charles Chappell, Jr.  
Chairman Of The Board  
Northern Lights Mall  
North Syracuse, NY 13212

Joan Potter  
RR# 1  
Blood Hill Road  
Elizabeth, NJ 12932

Arthur H. Christy  
Christy & Viener  
620 Fifth Avenue  
New York, NY 10020

Dwayne Hart, Esq.  
Queens Supreme Court Judge  
88-11 Sutphin Boulevard  
Jamaica, NY 11435


(7) The duration of the corporation is perpetual.

(8) The Secretary of State is designated as agent of the corporation upon whom process against it may be served. The post office address to which the Secretary of State shall mail a copy of any process against the Corporation served upon him is:

c/o The Corporation  
430 East Genesee Street  
Syracuse, NY 13202

The undersigned incorporator is of the age of eighteen years or over.

IN WITNESS WHEREOF, this Certificate has been subscribed this 6th day of March, 1991, by the undersigned, who affirms that the statements made herein are true under the penalties of perjury.

  
\_\_\_\_\_  
Joan Terry, Incorporator  
500 Central Avenue  
Albany, NY 12206

CERTIFICATE OF INCORPORATION

OF

NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST, INC.

Under Section 402 of the Not-For-Profit Corporation Law

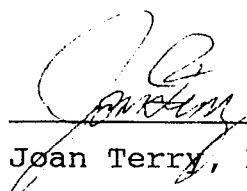
FILER:

Rosenthal & Drimer  
472 South Salina Street  
Suite 602  
Syracuse, NY 13202  
Reference # R0605-811904

RESIGNATION OF FIRST INCORPORATOR

The undersigned Incorporator named in the Certificate of Incorporation for NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST, INC. hereby tenders her resignation as Incorporator of said corporation, and transfers her rights, title and interest of said corporation to the membership of the corporation, effective at the opening meeting of members and/or directors held this                      day of                      , 1991.

DATED:

  
\_\_\_\_\_  
Joan Terry, Incorporator

S. DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS AND STATE RECORDS

162 WASHINGTON AVENUE  
ALBANY, NY 12231

FILING RECEIPT

CORPORATION NAME: NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST,  
INC.

DOCUMENT TYPE : INCORPORATION (DOM. NFP) TYPE: B COUNTY: ONON

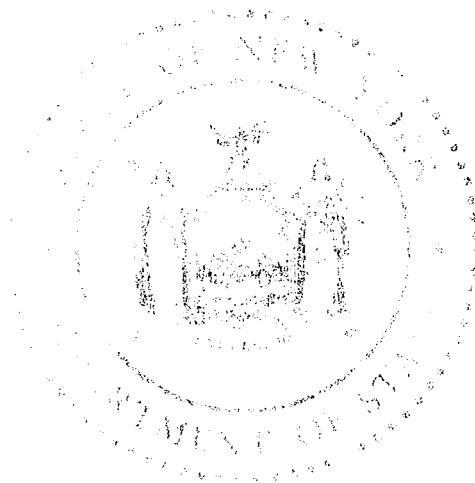
SEE VICE COMPANY : INFOSEARCH, INC.

FILED: 03/29/1991 DURATION: PERPETUAL CASH #: 910329000383 FILM #: 910329000319

ADDRESS FOR PROCESS

% THE CORPORATION  
430 EAST GENESEE ST.  
SYRACUSE, NY 13202

REGISTERED AGENT



FILER	FEES		PAYMENTS	
ROSENTHAL & DRIMER		50.00		50.00
472 SOUTH SALINA ST.	FILING :	50.00	CASH :	0.00
SUITE 602	TAX :	0.00	CHECK :	0.00
SYRACUSE, NY 13202	CERT :	0.00	BILLED:	50.00
	COPIES :	0.00		
	HANDLING:	0.00		
			REFUND:	0.00



U. S. DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS AND STATE RECORDS

162 WASHINGTON AVENUE  
ALBANY, NY 12231

FILING RECEIPT

CORPORATION NAME: NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST,  
INC.

DOCUMENT TYPE: INCORPORATION (DOM. NFP)

TYPE: B COUNTY: ONON

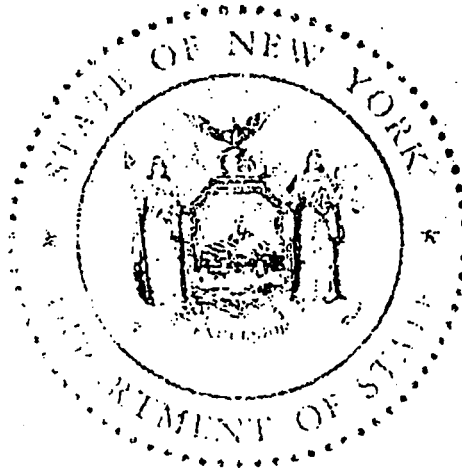
SERVICE COMPANY: INFOSEARCH, INC.

FILED: 03/29/1991 DURATION: PERPETUAL CASH #: 910329000383 FILM #: 910329000319

ADDRESS FOR PROCESS

THE CORPORATION  
50 EAST GENESEE ST.  
SYRACUSE, NY 13202

REGISTERED AGENT



FILER	FEES		PAYMENTS	
ROSENTHAL & DRIMER 472 SOUTH SALINA ST. SUITE 602 SYRACUSE, NY 13202	FILING : TAX : CERT : COPIES : HANDLING :	50.00 50.00 0.00 0.00 0.00	CASH : CHECK : BILLED :	50.00 0.00 50.00
			REFUND :	0.00

INFO

F920602000

INFO.

CERTIFICATE OF AMENDMENT  
OF THE  
CERTIFICATE OF INCORPORATION OF  
NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES - NORTHEAST, INC.  
Under Section 809 of the Not-For-Profit Corporation Law

We, Susan R. Horn, President and Joan Potter, Assistant Secretary of National Center on Institutions and Alternatives - Northeast, Inc., a corporation existing under the laws of the State of New York (the "Corporation"), do hereby certify as follows:

1. The name of the Corporation is National Center on Institutions and Alternatives - Northeast, Inc.

2. The Certificate of Incorporation was filed by the Department of State on March 29, 1991. The Corporation was formed under Section 402 of the Not-for-Profit Corporation Law (the "NPCL").

3. The Corporation is a corporation as defined in subparagraph (a)(5) of Section 102 of the NPCL, is a Type B corporation under Section 201 of the NPCL, and shall continue to be a Type B corporation under Section 201 of the NPCL after the filing of this Certificate of Amendment by the Department of State.

4. The Certificate of Incorporation of the Corporation is hereby amended to enlarge the corporate purposes of the Corporation as follows:

(1) The first, second and third undesignated paragraphs of Article 3 of the Certificate of Incorporation are hereby respectively designated as paragraphs (b) (c) and (d) and a new paragraph (a) is hereby added to read as follows:

"(a) to operate a substance abuse program within the meaning of Article 19 of the Mental Hygiene Law and the rules and regulations adopted pursuant thereto as each may be amended from time to time, and"

The second paragraph of Article 3, now designated (c) is hereby amended to read as follows:

"(c) Nothing herein shall authorize this Corporation, directly or indirectly, to engage in or include among its purposes any of the activities mentioned in Not-For-Profit Corporation Law Section 404(b) thru (t) and (v)."

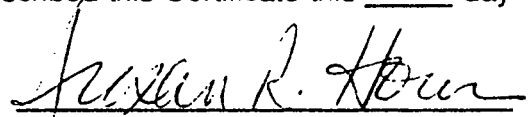
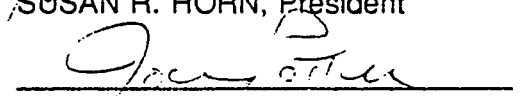
5. This Certificate of Amendment was authorized by a majority of the Board of Directors of the Corporation at a meeting held on April 27, 1992.

6. The Secretary of State is hereby designated as agent of the Corporation upon whom process against it may be served. The post office address to which the Secretary shall mail a copy of any process against the Corporation served upon the Corporation is:

430 East Genesee Street  
Syracuse, New York 13202

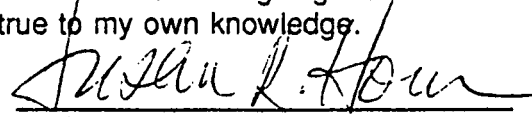
which address is the same as that designated in the original Certificate of Incorporation of the Corporation.

IN WITNESS WHEREOF, we have made and subscribed this Certificate this 27<sup>th</sup> day of April, 1992.

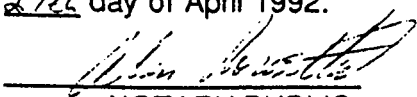
  
SUSAN R. HORN, President  
  
JOAN POTTER, Assistant Secretary

STATE OF NEW YORK )  
COUNTY OF ONONDAGA)

I, SUSAN R. HORN, being duly sworn, deposes and says: I am the President of National Center on Institutions and Alternatives - Northeast, Inc.; I have read the foregoing Certificate of Amendment and know the contents thereof; the same is true to my own knowledge.

  
SUSAN R. HORN


Sworn to before me this 27<sup>th</sup> day of April 1992.

  
NOTARY PUBLIC

ALAN ROSENTHAL  
NOTARY PUBLIC, State of New York  
Qualified In Onondaga Co. No. 4656884  
Commission Expires 10/31/93

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I, JOAN POTTER, being duly sworn deposes and says: I am the Assistant Secretary of National Center on Institutions and Alternatives - Northeast, Inc.; I have read the foregoing Certificate of Amendment and know the contents thereof; the same is true to my own knowledge.

  
\_\_\_\_\_  
JOAN POTTER

Sworn to before me this  
22 day of April 1992.

  
\_\_\_\_\_  
NOTARY PUBLIC

ALAN ROSENTHAL  
NOTARY PUBLIC, State of New York  
Qualified In Onondaga Co. No. 4606884  
Commission Expires \_\_\_\_\_

State of New York  
Division of Substance Abuse Services  
Albany, New York

Know All Persons By These Presents:

Pursuant to the provisions of Paragraph (U) of Section 404 of the Not-For-Profit Corporation Law, consent is hereby given to the filing with the Department of State of the annexed:

Certificate of Amendment  
Of The  
Certificate of Incorporation  
Of  
National Center on Institutions  
and Alternatives - Northeast, Inc.

Under Section 803 of the Not-For-Profit Corporation Law

This consent to filing shall in no way be construed as an authorization for the corporation to operate a substance abuse program for which a certificate of approval may be necessary pursuant to the provisions of Mental Hygiene Law, Section 23.01.



IN WITNESS WHEREOF this instrument is executed and the Seal of the State Division of Substance Abuse Services is affixed this 4<sup>th</sup> day of May, 1992.

Marguerite T. Saunders  
Director

By: A. Thomas Storace

4



STATE OF NEW YORK  
DEPARTMENT OF LAW  
ALBANY 12224

ROBERT ABRAMS  
ATTORNEY GENERAL

JAMES G. MCSPARRON  
DEPUTY FIRST ASSISTANT  
ATTORNEY GENERAL

(518) 474-5303

May 13, 1992

Jeffrey Lee Drimer, Esq.  
Rosenthal & Drimer  
Empire Building, Suite 602  
472 South Salina Street  
Syracuse, New York 13202-2480

Dear Mr. Drimer:

RE: NATIONAL CENTER ON INSTITUTIONS  
AND ALTERNATIVES - NORTHEAST, INC.

Due and timely service of the notice of application for the approval of the proposed certificate of amendment to the certificate of incorporation of the above-entitled organization is hereby admitted.

The Attorney General does not intend to appear at the time of application.

Very truly yours,

ROBERT ABRAMS  
Attorney General

By: 

RICHARD S. REDLO  
Assistant Attorney General

5

MAY 15

STATE OF NEW YORK  
SUPREME COURT COUNTY OF ONONDAGA

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In the Matter of the Application for the Approval of the  
Certificate of Amendment of the Certificate of Incorporation of

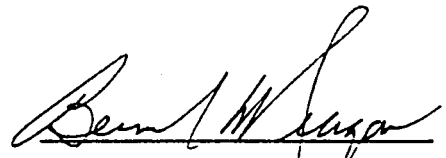
NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST, INC.

pursuant to the Not-For-Profit Corporation Law.

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I, Bernard L. Reagan, a Justice of the Supreme Court of the V District, do hereby  
approve the foregoing Certificate of Amendment of the Certificate of Incorporation, and consent  
that the same be filed.

DATED: MAY 19, 1992

  
Justice of the Supreme Court

Hon. Bernard L. Reagan

F 920602000<sup>403</sup>

**INFO.**

**INFO.**

R0605  
886022

CERTIFICATE OF AMENDMENT

OF

CERTIFICATE OF INCORPORATION OF

NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES - NORTHEAST, INC.

UNDER SECTION 803 OF THE NOT-FOR-PROFIT CORPORATION LAW

JUN 2 9 06 AM '92

JUN 1 9 06 AM '92

*[Handwritten signature]* - 15

26  
STATE OF NEW YORK  
DEPARTMENT OF STATE  
FILED JUN 02 1992  
TAXS \_\_\_\_\_  
BY UBH  
onon

ROSENTHAL & DRIMER  
EMPIRE STATE BUILDING, SUITE 602  
SYRACUSE, NEW YORK 13202-2480

>

**BILLED**

920602000437



State of New York  
Office of Alcoholism and Substance Abuse Services  
Albany, New York

Know All Persons By These Presents:

Pursuant to the provisions of Paragraph (U) of Section 404 of the Not-For-Profit Corporation Law, consent is hereby given to the filing with the Department of State of the annexed:

Certificate of Amendment

Of The

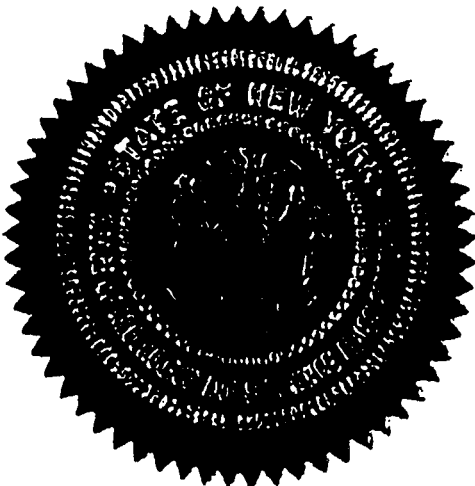
Certificate of Incorporation

Of

NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES - NORTHEAST, INC.

Under Section 803 of the Not-For-Profit Corporation Law

This consent to filing shall in no way be construed as an authorization for the corporation to operate a substance abuse program for which a certificate of approval may be necessary pursuant to the provisions of Mental Hygiene Law, Section 23.01.



IN WITNESS WHEREOF this instrument is executed and the Seal of the New York State Office of Alcoholism and Substance Abuse Services is affixed this 17th day of August, 1993.

Marguerite T. Saunders  
Commissioner

By: A. Thomas Storace

FILING RECEIPT

CORPORATION NAME: NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST,  
INC.

DOCUMENT TYPE : AMENDMENT (DOMESTIC NFP)  
PURPOSES

COUNTY: ONON

SERVICE COMPANY : INFOSEARCH, INC.

FILED: 06/02/1992 DURATION: \*\*\*\*\* CASH #: 920602000437 FILM #: 920602000403

ADDRESS FOR PROCESS

REGISTERED AGENT



FILER	FEE	AMOUNT	PAYMENTS	AMOUNT
ROSENTHAL & DRIMER	FILING	30.00	CASH	0.00
EMPIRE STATE BUILDING SUITE 602	TAX	0.00	CHECK	0.00
SYRACUSE, NY 13202-2480	CERT	0.00	BILLED	75.00
	COPIES	20.00		
	HANDLING	25.00		
			REFUND	0.00



# PRENTICE HALL LEGAL & FINANCIAL SERVICES

P.O. BOX 1110 / ALBANY, NEW YORK 12201-1110  
(518) 458-8111

INVOICE NO. 16886022

## REPORT

06/09/92

SUBJECT: NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST, INC.

### FILING

Enclosed please find filing receipt dated 6/2/92 issued by the Department of State, New York.

SUBJECT: NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST, INC.

### CERTIFIED COPY(S)

Enclosed please find certified copy(s) of the certificate(s) for the above corporation as requested and obtained from the microfilm records of the Department of State, New York.

10011

NOTE: We guarantee our information to be as accurate as REASONABLE CARE can make it. However, the ultimate responsibility for maintaining files rests with the filing officer and we will accept NO LIABILITY beyond the exercise of REASONABLE CARE.

REMIT TO: Infosearch, Inc.  
P.O. Box 102443  
Atlanta, GA 30368-0443

# INVOICE

16886022 JS /MJS 06/09/92  
SERVICE FEE 109.00  
DISBURSEMENTS 75.00

TO: R0605 C/S 0989 315/472/4331  
ATTN JEFFREY LEE DRIMER  
Rosenthal & Drimer  
  
472 South Salina St  
Suite 602  
Syracuse NY 13202



PAYMENT DUE ON RECEIPT OF THIS INVOICE

TOTAL DUE **184.00**

**CERTIFICATE OF AMENDMENT  
OF THE  
CERTIFICATE OF INCORPORATION OF  
NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES - NORTHEAST, INC.  
Under Section 803 of the Not-For-Profit Corporation Law**

We Susan R. Horn, President and Joan Potter, Assistant Secretary of National Center on Institutions and Alternatives - Northeast, Inc., a corporation existing under the laws of the State of New York (the "Corporation"), do hereby certify as follows:

1. The name of the Corporation is National Center on Institutions and Alternatives - Northeast, Inc.
2. The Certificate of Incorporation was filed by the Department of State on March 29, 1991. The Corporation was formed under Section 402 of the Not-for-Profit Corporation Law (the "NPCL"). An amendment to the Certificate of Incorporation was filed by the Department of State on June 2, 1992.
3. The Corporation is a corporation as defined in subparagraph (a)(5) of Section 102 of the NPCL, and is a Type B corporation under Section 201 of the NPCL and shall continue to be a Type B corporation under Section 201 of the NPCL after the filing of this Certificate of Amendment by the Department of State.
4. The Certificate of Incorporation of the Corporation is hereby amended to change the name of the Corporation as follows:
  - (1) The first paragraph of the Certificate of incorporation is hereby amended to read as follows:

The name of the Corporation is Center for Community Alternatives, Inc.
5. The manner in which this Amendment to the Certificate of Incorporation of National Center On Institutions And Alternatives - Northeast, Inc. was authorized by the affirmative vote of a majority of the members of the entire Board of Directors of the corporation, voting in person at a meeting duly called. There are no members eligible to vote.
6. The Secretary of State is hereby designated as agent of the corporation upon whom process against it may be served. The post office address to which the Secretary shall

mail a copy of any process against the Corporation served upon the Corporation is:

430 East Genesee Street  
Syracuse, New York 13202

which address is the same as that designated in the original Certificate of Incorporation of the Corporation.

IN WITNESS WHEREOF, this certificate has been subscribed this 21st day of January, 1993, by the undersigned who affirms that the statements made herein are true under the penalties of perjury.

Susan R. Horn  
SUSAN R. HORN, President  
Joan Potter  
JOAN POTTER, Assistant Secretary

STATE OF NEW YORK )  
COUNTY OF ONONDAGA )

I, SUSAN R. HORN, being duly sworn, deposes and says: I am the President of National Center on Institutions and Alternatives - Northeast, Inc.; I have read the foregoing Certificate of Amendment and know the contents thereof; the same is true to my own knowledge.

Susan R. Horn  
SUSAN R. HORN

Sworn to before me this  
21<sup>st</sup> day of January, 1993

Kathleen E. O'Hara  
Notary Public

KATHLEEN E. O'HARA  
Notary Public in the State of New York  
Qualified in Onon. Co. No. 34-2948250  
My Commission Expires 9/30/93

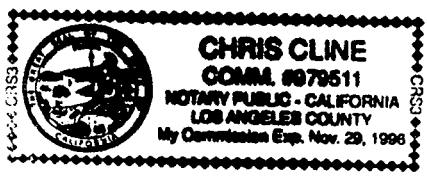
STATE OF CALIFORNIA )  
COUNTY OF Los Angeles )

I, JOAN POTTER, being duly sworn, deposes and says: I am the Assistant Secretary of National Center on Institutions and Alternatives - Northeast, Inc.; I have read the foregoing Certificate of Amendment and know the contents thereof; the same is true to my own knowledge.

Joan Potter  
JOAN POTTER

Sworn to before me this  
19<sup>th</sup> day of January, 1993

Chris Cline  
Notary Public



**INFO.**  
R0605-D11758

CERTIFICATE OF AMENDMENT

OF THE

CERTIFICATE OF INCORPORATION

OF

NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES - NORTHEAST, INC.

FILER: ROSENTHAL & DRIMER  
EMPIRE BUILDING SUITE 602  
472 S. SALINA ST.  
SYRACUSE, NY 13202



NEW YORK STATE  
OFFICE OF ALCOHOLISM  
AND  
SUBSTANCE ABUSE SERVICES  
Executive Park South  
Albany, New York 12203-8200

Mario M. Cuomo  
Governor

Marguerite T. Saunders  
Commissioner

November 5, 1993

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Ms. Marsha Weissman  
Executive Director  
National Center on Institutions  
and Alternatives - Northeast, Inc.  
430 East Genesee Street - Suite 205  
Syracuse, New York 13202

Re: Certificate of Approval #94100964 (RENEWED)  
Drug Free Ambulatory Substance Abuse  
Treatment Program - Capacity of 140 Clients  
Crossroads, 39 West 19th Street - 3rd Floor  
New York, New York 10011

Dear Ms. Weissman:

Enclosed is the above referenced Certificate of Approval. Based on the Office of Alcoholism and Substance Abuse Services' (OASAS) findings relative to the program's case record documentation, management, facility appearance and general safety, and fiscal viability, the Certificate of Approval has been renewed for a period of one year. (See attached Compliance Summary Sheet for details regarding the basis for determining the Certificate of Approval term.)

Prior to the expiration date (October 31, 1994), an on-site review will be performed, to determine compliance with applicable regulations. In addition, the program's financial condition will be reviewed, in order to ensure that the program has the financial capability to operate. These two reviews will provide the basis upon which OASAS will establish the renewal term to be assigned to your License to operate a substance abuse program (up to a maximum of three years). If the on-site review finds that the program has not been brought into at least partial compliance in the case records and facility appearance and general safety categories (previously found to be in minimal compliance), the Certificate of Approval will be in jeopardy of not being renewed.

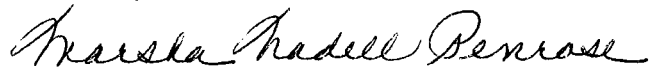
In view of the serious potential consequences, please ensure that appropriate corrective action is immediately implemented.

Ms. Marsha Weissman  
Page 2 of 2  
November 5, 1993

Please note that this approval does not authorize or affect funding commitments by OASAS. In addition, the program is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other agencies.

A copy of this Certificate of Approval should be displayed in a conspicuous area that is accessible to the public, such as an entrance/reception area.

Sincerely,



Marsha Nadell Penrose  
Certification Unit Supervisor

Enclosures

cc/w/encs.: Marguerite T. Saunders  
Ramon Rodriguez  
Neil C. Grogin  
Elliott Lefkowitz  
Robert D. Gumbs (HSA of New York City)



State of New York  
Office of Alcoholism and Substance Abuse Services  
Albany, New York

Know All Persons By These Presents:

Pursuant to the provisions of Paragraph (U) of Section 404 of the Not-For-Profit Corporation Law, consent is hereby given to the filing with the Department of State of the annexed:

Certificate of Amendment

Of The

Certificate of Incorporation

Of

NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES - NORTHEAST, INC.

Under Section 803 of the Not-For-Profit Corporation Law

This consent to filing shall in no way be construed as an authorization for the corporation to operate a substance abuse program for which a certificate of approval may be necessary pursuant to the provisions of Mental Hygiene Law, Section 23.01.



IN WITNESS WHEREOF this instrument is executed and the Seal of the New York State Office of Alcoholism and Substance Abuse Services is affixed this 17<sup>th</sup> day of August, 1993.

Marguerite T. Saunders  
Commissioner

By: A. Thomas Storace

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF STANDARDS AND QUALITY ASSURANCE  
CERTIFICATION UNIT

CERTIFICATE OF APPROVAL (COA)

COMPLIANCE SUMMARY SHEET

Provider Name: National Center on Institutions and Alternatives - Northeast, Inc.  
 Provider Number: 2136 PRU Number: 6520 Capacity: 140  
 On-Site Review Number: NY 93108 COA Number: 94100964  
 Date(s) of On-Site Review: 6/8/93 to 6/9/93  
 Services: Drug Free Ambulatory Substance Abuse Treatment

Level of Compliance Table

<u>Rating Range</u>	<u>Status</u>	<u>Length of COA</u>
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

ON-SITE REVIEW RESULTS

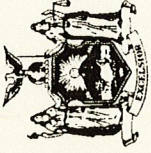
<u>Category</u>	<u>Rating</u>	<u>Length of COA</u>
Part I. Case Records	<u>1.79</u>	<u>1 year</u>
Part II. Management	<u>4.00</u>	<u>3 years</u>
Part III. Facility Appearance and General Safety	<u>2.22</u>	<u>1 year</u>

FISCAL VIABILITY DETERMINATION

	<u>Length of COA</u>
( ) 1. Fiscal viability package was not submitted.	3 months
( ) 2. Data received is insufficient to determine fiscal viability.	3 months
( ) 3. Current financial position is NOT viable; there is no acceptable recovery plan; and, Contract Administration is not considering deficit funding.	3 months
( ) 4. Current financial position is NOT viable; however, there is an acceptable recovery plan.	1 year
( ) 5. Current financial position is NOT viable and there is no acceptable recovery plan; however, Contract Administration is considering deficit funding.	1 year
( ) 6. Current financial position is viable; however, overall financial position is NOT viable.	1 year
(X) 7. Current and overall financial positions are viable.	Per On-Site Review Results
( ) 8. Fiscal viability determination not applicable, given the service provider is a governmental entity.	Per On-Site Review Results

The expiration date indicated on the Certificate of Approval was determined by using the LOWEST term appearing under either ON-SITE REVIEW RESULTS or FISCAL VIABILITY DETERMINATION. The term for COA No. 94100964 is one year.

State of New York



# Office of Alcoholism and Substance Abuse Services

Albany, New York

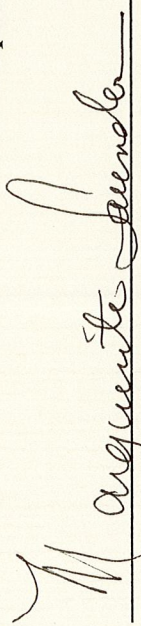
## CERTIFICATE OF APPROVAL

NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES - NORTHEAST, INC.

pursuant to the provisions of Mental Hygiene Law 23.01 is hereby granted this Certificate of Approval to operate a drug free ambulatory substance abuse treatment program (14 NYCRR 1030.2) with a capacity of 140 clients at:

Crossroads  
39 West 19th Street - 3rd Floor  
New York, New York 10011

in conformity with its application and the requirements of the laws and regulations of the State of New York.

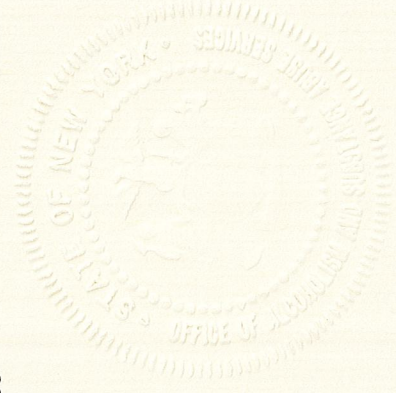
  
MARGUERITE T. SAUNDERS  
COMMISSIONER

94100964

CERTIFICATE NUMBER  
Renewed

EFFECTIVE DATE: November 1, 1993

EXPIRATION DATE: October 31, 1994



## BOARD OF DIRECTORS RESOLUTION

A regular meeting duly called the chairperson of the Board of Directors of Center for Community Alternatives, Inc. was held on June 20, 1994 at the principal offices of the Corporation, located at 351 South Warren Street, Syracuse, New York 13202 at 12:30 P.M. The following Directors, constituting a Quorum, were present: Charles Adler, Charles Chappell Jr., Susan Horn, Steve Kelban, Alan Rosenthal and Carol Shapiro.

The President stated that the meeting had been called for the purpose of considering the adoption of a retirement program for the employees of the Corporation.

Upon motion made, seconded and duly carried, it was

RESOLVED, that Center for Community Alternatives, Inc. establishes a Program for providing Simplified Employee Pensions to be known as CCA SEP by adopting the attached, completed but unexecuted Program, and it was

FURTHER RESOLVED, that the Executive Director be and is hereby authorized to execute on behalf of the Corporation all documents necessary to establish such Program and make any amendments necessary to satisfy 408(K) of the Internal Revenue Code and, if necessary Title 1 of the Employee Retirement Income Security Act of 1974 (ERISA)

FURTHER RESOLVED, that the contribution required by such Program be made in the time and manner specified in such program.

No further business being presented, upon motion duly made, seconded, and carried, the meeting was adjourned.

## CERTIFICATION

The undersigned, being the duly elected and qualified Secretary of Center for Community Alternatives, Inc. hereby certifies that the above is a true, correct and complete copy of the minutes of the Board of Directors meeting of Center for Community Alternatives, Inc. which meeting was held at the principal office of the Corporation, in 351 South Warren Street, Syracuse, New York 13202 on June 20, 1994.



Secretary

N. Y. S. DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS AND STATE RECORDS

162 WASHINGTON AVENUE  
ALBANY, NY 12231

FILING RECEIPT

=====  
CORPORATION NAME: CENTER FOR COMMUNITY ALTERNATIVES, INC.

DOCUMENT TYPE : AMENDMENT (DOMESTIC NFP)  
NAME

COUNTY: ONON

SERVICE COMPANY : INFOSEARCH, INC.

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FILED: 09/14/1993 DURATION: \*\*\*\*\* CASH #: 930914000533 FILM #: 930914000489

ADDRESS FOR PROCESS  
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REGISTERED AGENT  
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FILER FEES 55.00 PAYMENTS 55.00  
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ROSENTHAL & DRIMER FILING : 30.00 CASH : 0.00  
EMPIRE BUILDING SUITE 602 TAX : 0.00 CHECK : 0.00  
472 S SALINA ST CERT : 0.00 BILLED: 55.00  
SYRACUSE, NY 13202 COPIES : 0.00  
HANDLING: 25.00 REFUND: 0.00  
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150703000 469

CERTIFICATE OF AMENDMENT  
OF THE  
CERTIFICATE OF INCORPORATION  
OF  
CENTER FOR COMMUNITY ALTERNATIVES, INC.

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Pursuant to Section 803 of the New York  
Not-for-Profit Corporation Law

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The Center for Community Alternatives, Inc. (the "Corporation") does hereby certify as follows:

1. The name of the Corporation is CENTER FOR COMMUNITY ALTERNATIVES, INC., and the name under which the Corporation was formed is NATIONAL CENTER ON INSTITUTIONS AND ALTERNATIVES-NORTHEAST, INC.
2. The Certificate of Incorporation was filed by the Department of State on March 29, 1991.
3. The Corporation was formed under the New York Not-for-Profit Corporation Law.
4. The Corporation is a corporation as defined in subparagraph (5) of paragraph (a) of Section 102 of the Not-for-Profit Corporation Law.
5. The Certificate of Incorporation is amended as follows:

Article 3 of the Certificate of Incorporation regarding purposes is amended to add an additional purpose by inserting a paragraph (e), adding sequentially to the previous amendment created by the Amended Certificate of Incorporation filed June 2, 1992 with the Secretary of State which added a new paragraph (a) to Article 3 and designated the existing unlettered paragraphs (b), (c) and (d) and is hereby amended to read in its entirety as follows:

- (a) To operate a substance abuse program within the meaning of Article 19 of the Mental Hygiene Law and the rules and regulations adopted pursuant thereto as each may be amended from time to time, and
- (b) To promote alternatives to incarceration consistent with public safety for juvenile and adult offenders. The corporation informs public policy and professionals in the field of criminal and juvenile justice about the availability of alternatives to incarceration.
- (c) Nothing herein shall authorize this Corporation, directly or

indirectly, to engage in or include among its purposes any of the activities mentioned in Not-For-Profit Corporation Law Section 404(b) thru (t) and (v).

(d) In furtherance of its corporate purposes, the Corporation shall have all general powers enumerated in section 202 of the Not-For-Profit Corporation Law, together with the powers to solicit grants and contributions for corporate purposes.

(e) To provide affordable housing to persons and families of low income, including (without limitation) formerly incarcerated persons, exclusively for charitable purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code and the regulations promulgated thereunder, as the same may be amended from time to time.

Article 2 of the Certificate of Incorporation regarding the type of corporation is amended to reflect the change in the law and is hereby amended to to read in its entirety as follows:

“(2) The Corporation is a corporation as defined in subparagraph (a)(5) of Section 102 of the Not-for-Profit Corporation Law. The Corporation is a charitable corporation under Section 201 of the Not-for-Profit Corporation Law.”

Article 8 of the Certificate of Incorporation regarding the address to which the Secretary of State shall mail a copy of any process against the Corporation is amended to reflect the new and correct address of the Corporation and is hereby amended to read in its entirety as follows:

“(8) The Secretary of State is designated as agent of the Corporation upon whom process against it may be served. The address within this state to which the Secretary of State shall mail a copy of any process against the Corporation served on the Secretary of State is:

Center for Community Alternatives, Inc.  
115 E. Jefferson Street, Suite 300  
Syracuse, New York 13202

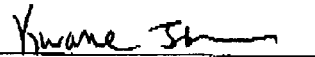
6. The Corporation is required to register with the Attorney General's Charities Bureau and has so registered dually pursuant to Article 7-A of the Executive Law and Section 8-1.4 of the Estates, Powers and Trusts Law (NYS Reg. No.: 04-88-16).

7. The Secretary of State is designated as agent of the Corporation upon whom process against it may be served. The address within this state to which the Secretary of State shall mail a copy of any process against it served on the Secretary of State is:

Center for Community Alternatives, Inc.  
115 E. Jefferson Street, Suite 300  
Syracuse, New York 13202

8. The Certificate of Amendment was authorized by an affirmative vote of a majority of the entire board of directors. The corporation has no members.

IN WITNESS WHEREOF, the Corporation has caused this Certificate of Amendment to be duly executed this 25th day of June, 2015.

  
\_\_\_\_\_  
Kwame Johnson

President





STATE OF NEW YORK  
OFFICE OF THE ATTORNEY GENERAL

ERIC T. SCHNEIDERMAN  
ATTORNEY GENERAL

DIVISION OF REGIONAL OFFICE  
SYRACUSE REGIONAL OFFICE

June 4, 2015

Alan Rosenthal, Counsel  
Center for Community Alternatives  
115 East Jefferson Street, Suite 300  
Syracuse, NY 13202

**RE: Approval of Certificate of Amendment of Center for Community Alternatives, Inc.**

Dear Alan:

The Attorney General hereby approves pursuant to NPCL 804(a)(ii)(A) the proposed Amcnded and Restated Certificate of Incorporation of Center for Community Alternatives, Inc. Said approval is conditioned on submission to the Department of State for filing within 60 days hereafter. A copy of the filed certificate shall be provided to the Attorney General.

6/5/15  
Date

Assistant Attorney General

150703000 469

CERTIFICATE OF AMENDMENT  
OF  
CERTIFICATE OF INCORPORATION  
OF  
CENTER FOR COMMUNITY ALTERNATIVES, INC.

Under Section 803 of the New York  
Not-for-Profit Corporation Law

1cc.  
STATE OF NEW YORK  
DEPARTMENT OF STATE

FILED JUL 03 2015

TAXS

BY: WUC

Filed by: Alan Rosenthal, Esq.  
Address: Center for Community Alternatives, Inc.  
115 E. Jefferson Street, Suite 300  
Syracuse, New York 13202

493

**NEW YORK STATE DEPARTMENT OF STATE**  
**Division of Corporations, State Records and UCC**  
**One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231**

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**TO: ALAN ROSENTHAL, ESQ.**  
**115 EAST JEFFERSON STREET**  
**SUITE 300**  
**SYRACUSE NY 13202**

**150703000469**

FILING RECEIPT

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ENTITY NAME: CENTER FOR COMMUNITY ALTERNATIVES, INC.

DOCUMENT TYPE: AMENDMENT (DOMESTIC NFP) TYPE: C COUNTY: ONON  
PURPOSES PROCESS NFP TYPE

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FILED:07/03/2015 DURATION:\*\*\*\*\* CASH#:150703000493 FILM #:150703000469

FILER:

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ALAN ROSENTHAL, ESQ.  
115 EAST JEFFERSON STREET  
SUITE 300  
SYRACUSE, NY 13202

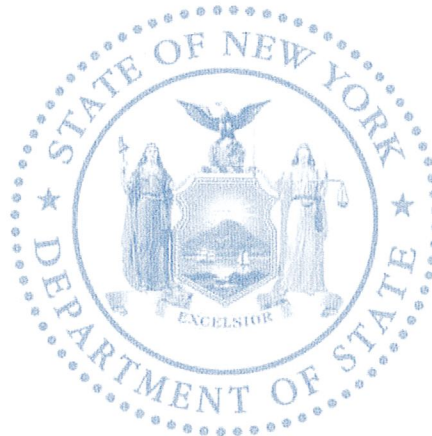
ADDRESS FOR PROCESS:

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THE CORPORATION  
115 E. JEFFERSON STREET SUITE 300  
SYRACUSE, NY 13202

REGISTERED AGENT:

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SERVICE COMPANY: \*\* NO SERVICE COMPANY \*\* SERVICE CODE: 00

FEES	40.00	PAYMENTS	40.00
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FILING	30.00	CASH	0.00
TAX	0.00	CHECK	0.00
CERT	0.00	CHARGE	40.00
COPIES	10.00	DRAWDOWN	0.00
HANDLING	0.00	OPAL	0.00
		REFUND	0.00

# ***STATE OF NEW YORK***

## ***DEPARTMENT OF STATE***

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on July 6, 2015.

*Anthony Giardina*

Anthony Giardina  
Executive Deputy Secretary of State